



12-23-02

DAC

REV. 10/02
For Other than A Small Entity

Docket No. CF/034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew C. Gilbert et al.
Application No.: 10/047,607 Confirmation No.: 5741
Filed : January 14, 2002
For : SYSTEMS AND METHODS FOR ELECTRONIC TRADING
THAT PERMIT PRINCIPAL/BROKER TRADING
Group Art Unit : 2164
Examiner : Not Yet Known

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV133102169US.

Date of Deposit December 18, 2002.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Box DAC, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Box DAC
Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment;
[X] a Declaration and Power of Attorney; [X] a Request for
Reconsideration of Petition Under 37 C.F.R. § 1.47(a); to be
filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.
[] A fee for additional claims is required.

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OFFICE OF PETITIONS

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	FEE
TOTAL CLAIMS	-	20 *	=	0 X	\$18 = \$0
INDEPENDENT CLAIMS	-	3 **	=	0 X	\$84 = \$0
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+	\$280 = \$0

* If less than 20, insert 20. TOTAL = \$0
 ** If less than 3, insert 3.

- [] A check in the amount of \$_____ is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- [] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.17(a)(1); [] \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.17(a)(2); [] \$920.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.17(a)(3); [] \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.17(a)(4); [] \$1,960.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.17(a)(5).
- [] A check in the amount of [] \$110.00; [] \$400.00; [] \$920.00; [] \$1,440.00; [] \$1,960; in payment of the extension fee is transmitted herewith.

- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. \$ 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$110.00; ☐ \$400.00; ☐ \$920.00; ☐ \$1,440.00; ☐ \$1,960; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



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